# UNITED STATES DISTRICT COURT

# CENTRAL DISTRICT OF CALIFORNIA

**CAPITAL HABEAS FUNDING APPLICATION**

|  |  |  |  |
| --- | --- | --- | --- |
| Petitioner's Name: |  | | |
| Case No.: |  | | |
| Lead Attorney: |  | Hourly Rate: |  |
| Co-Counsel: |  | Hourly Rate: |  |

Budget #:       Amendment #:

Estimated Time Period Covered by this Application: From       to

**CONFIDENTIAL CASE BACKGROUND**

***The answers to the following questions are for case management and budgeting purposes only and will not be binding in any respect on substantive issues to be raised in the course of litigation. Once completed, save a copy of this form for future budget submissions. Use the TAB key to move between entries.***

# State Level Proceedings

1. Provide a brief description of the charges for which petitioner was sentenced to death and the procedural history up to the point the case became a federal capital habeas matter.

1. Date(s) of conviction.
2. Did (or does) either attorney represent the petitioner during any part of the state proceedings? If yes, indicate which attorney, the extent of the representation, and address whether there is a potential for a conflict of interest with the federal representation.

1. If funds were allocated at the state level for state post-conviction investigation, please indicate the purpose(s) and amounts requested and authorized.

1. If discovery was requested at the state level, please indicate the nature of the discovery requested and whether the request(s) was/were granted or denied.

1. Please indicate whether an evidentiary hearing was held at the state level and provide details thereon.

# Federal Level Proceedings

1. Based on current information, what is the date required by the statute of limitations for filing the federal petition? If the petition was already filed, please indicate that date.

1. Has the complete record (core and non-core materials) been assembled? If not, please indicate the reason.

1. Have the files of all prior counsel been obtained? If not, please indicate the reason.

1. Please quantify the record below. If actual figures are not known, provide your best estimate.

|  |  |
| --- | --- |
| **Type of Record - Core** | **# of Pages** |
| Trial transcript and exhibits |  |
| Transcript of penalty phase if guilty plea entered |  |
| State appellate pleadings and briefs |  |
| State post-conviction record (including transcripts, pleadings, motions and exhibits from post-conviction proceedings and excluding duplicate trial and appellate transcripts, pleadings, and briefs) |  |
| **TOTAL CORE** | 0 |

|  |  |
| --- | --- |
| **Type of Record - Non Core** | **# of Pages** |
| Ancillary files and records (including prior counsel’s case files, co-defendant files, investigative reports, etc. and excluding duplicate core records contained in prior counsel’s files) |  |
| Other |  |
| **TOTAL NON-CORE** | 0 |

1. Have you received additional documents/discovery not included in the core/non-core records indicated above? If so, please describe.

1. Please indicate whether the any of the following factors apply to this case.

|  |  |
| --- | --- |
| **Issues** | **Answers/Comments** |
| Time elapsed since offense(s) |  |
| No. of victims |  |
| No. of co-defendants |  |
| Use of drugs/alcohol at time of offense, and whether this issue was raised at the state level |  |
| Informant involvement (indicate if informant(s) affect current case complexity) |  |
| Are potential witnesses or family located out of state or the country (brief summary) |  |
| Are there issues re: competency/mental health/other disabilities (brief summary and impact on legal issues and/or client relations) |  |
| Did petitioner suffer physical/mental abuse as a child (brief summary); also indicate whether this issue was raised at the state level |  |
| Is an interpreter required for the petitioner or witnesses |  |

**RATE JUSTIFICATION WORKSHEET**

***Fill out the following only if the Court has not yet set co-counsel’s hourly rate or if co-counsel is seeking an increased rate.***

**Attorney Name:**

**Experience and Qualifications:**

1. Admitted to practice:       years
2. Member of the bar of a federal district court or court of appeals:       years
3. Primary area of practice:
4. Has previously represented a client in (check all that apply):

Direct appeal of a death sentence

State capital post-conviction proceeding

Direct appeal of a non-capital homicide conviction

Capital trial

Non-capital homicide trial

Other felony trial

Non-capital federal habeas corpus

Federal capital habeas proceeding

1. Number of clients previously represented in federal habeas actions:
2. Most recently authorized hourly rate in such a case:
3. Approximate hours spent in training programs on death penalty litigation and/or post-conviction representation:
4. Other relevant information:

**FUNDING APPLICATION**

**BUDGET # AMENDMENT #**

1. Please provide a brief overview of what has occurred in this case procedurally since you filed the petition.

1. Please provide a description of the current procedural status of the case as it relates to this request.

1. If you are requesting investigative funds, please provide an explanation for why investigation is needed at this stage in the proceeding.

1. Are you requesting authorization to utilize associate(s)?

If “yes,” answer the following:

1. Associate name(s):
2. Is associate an employee of lead or co-counsel’s firm or an independent contractor?
3. Requested hourly rate:

[Note: The estimated hours to be spent by associates on particular tasks should be included in the hours requested for lead or co-counsel below.

1. Please provide any additional information you believe would assist the court in determining the reasonableness of your funding request.

# REQUESTED ATTORNEY HOURS

| **Tasks** | **Requested Hours** | | **Brief Justification** | |
| --- | --- | --- | --- | --- |
| **Lead** | **Co-Counsel** |
| In Court Hearings |  |  |  | |
| Conf/Correspond with Client |  |  |  | |
| Prepare for and Conduct Witness IVs/Depositions |  |  |  | |
| Consult with Experts & Investigators |  |  |  | |
| Obtain & Review the Court Record[[1]](#footnote-1) |  |  |  | |
| Obtain & Review Documents & Evidence[[2]](#footnote-2) |  |  |  | |
| Consult with Co-Counsel  and Expert Counsel |  |  |  | |
| Legal Research and Writing[[3]](#footnote-3) |  |  |  | |
| Travel |  |  |  | |
| Other |  |  |  | |
| Total Hours Requested | **0** | **0** |  | |
| Costs Per Atty for All Tasks | **Lead: $0.00** | | | **Co-Counsel: $0.00** |
| **Total Cost – Both Counsel** | **$0.00** | | | |

REQUESTED SERVICE PROVIDERS

(Paralegal, Associate, Investigator(s), Experts**[[4]](#footnote-4)**)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Name and Specialty** | **Requested** | | **Cost** | **Brief Justification** | |
|  |  | **Hours** | **Rate** |  |  | |
| **1** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
| **2** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
| **3** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
| **4** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
| **5** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
| **6** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
| **7** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
| **8** |  |  | **$** | **$0.00** |  | |
|  |  |  |  |  |  | |
|  | **Total Amount Requested For Service Providers:** | | | | | **$0.00** |

# REQUESTED ATTORNEY OR SERVICE PROVIDER EXPENSES

| **Type** | **Cost** | **Brief Justification** | |
| --- | --- | --- | --- |
|
|  |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
|  | **$** |  | |
| **Total Amount Requested for Expenses:** | | | **$0.00** |

**TOTAL REQUESTED BUDGET: $0.00**

|  |
| --- |
| IF ANY PORTION OF YOUR REQUEST IS BEING MADE *NUNC PRO TUNC*, YOU MUST COMPLETE THIS SECTION BEFORE SUBMITTING YOUR FUNDING REQUEST *NUNC PRO TUNC AUTHORIZATION* **NOTE:** *Counsel is responsible for the oversight of expert services and funding status. Nunc pro tunc requests may be denied absent extraordinary circumstances. Justification provided must be sufficiently persuasive and detailed to overcome failure to obtain timely authorization*.  *Nunc Pro Tunc* Date:  Justification for *nunc pro tunc* request: |

|  |
| --- |
| **INSTRUCTIONS:**   1. Save completed funding application in Word. 2. Email completed form along with the resume or CV of newly requested service providers to [cjabudgeting@ce9.uscourts.gov](mailto:cjabudgeting@ce9.uscourts.gov). 3. Questions? Contact Ninth Circuit Case Managing Attorney Kristine Fox at 415.355.8985 or Blair Perilman 415.355.8982. |

1. Core records only. [↑](#footnote-ref-1)
2. Non-core and supplemental records only. [↑](#footnote-ref-2)
3. List anticipated pleadings. [↑](#footnote-ref-3)
4. If you are seeking funds for an expert who was not involved in the trial or subsequent proceedings, please attach his/her resume or CV. [↑](#footnote-ref-4)